

Concurrent Kleene Algebra: Free Model and Completeness

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Introduction

Kleene Algebra models *program flow*.

- abort (0) and skip (1)
- atomic actions (a, b, \dots)
- non-deterministic choice (+)
- sequential composition (\cdot)
- indefinite repetition ($*$)

$$(e + f)^* \equiv_{KA} e^* \cdot (f \cdot e^*)^*$$

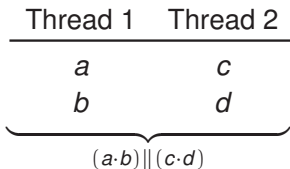
Thread 1	Thread 2
<i>a</i>	<i>c</i>
<i>b</i>	<i>d</i>

How do we model concurrent composition?

Thread 1	Thread 2
<i>a</i>	<i>c</i>
<i>b</i>	<i>d</i>

$abcd + acbd + \dots ?$

Interleaving is a stop-gap: concurrency information lacking from traces.



Concurrent KA¹ adds *parallel composition* (\parallel)

¹Hoare, Möller, Struth, and Wehrman 2009.

Introduction

KA is well-studied:

- Decision procedures
- Automata, coalgebra
- Free model, completeness

[Hopcroft and Karp 1971; Bonchi and Pous 2013]

[Kleene 1956; Brzozowski 1964; Silva 2010]

[Salomaa 1966; Conway 1971; Kozen 1994]

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CKA is a work in progress:

- Decision procedures [Brunet, Pous, and Struth 2017]
- Automata [Lodaya and Weil 2000; Jipsen and Moshier 2016]
- Free model, completeness [Gischer 1988; Laurence and Struth 2014]

See also [K., Brunet, Luttk, Silva, and Zanasi 2017].

Lemma (Kozen 1994)

The axioms for KA are complete for equivalence:

$$e \equiv_{KA} f \iff \llbracket e \rrbracket_{KA} = \llbracket f \rrbracket_{KA}$$

$\llbracket - \rrbracket_{KA}$ is the regular language interpretation of e .

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Question

Can we find axioms for CKA that are complete for equivalence? That is,

$$e \equiv_{CKA} f \stackrel{?}{\iff} \llbracket e \rrbracket_{CKA} = \llbracket f \rrbracket_{CKA}$$

$\llbracket - \rrbracket_{CKA}$ is a generalized regular language interpretation of e .

Completeness for CKA is also shown in [Laurence and Struth 2017]; c.f.

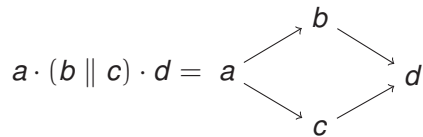
<https://arxiv.org/abs/1705.05896>

Our method differs, because it...

- ... is fully syntactic
- ... uses fixpoints instead of congruences
- ... is explicitly constructive

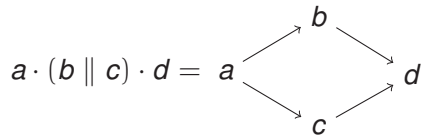
We do owe part of our method to op. cit.

- Pomset: “word with parallelism”



Preliminaries

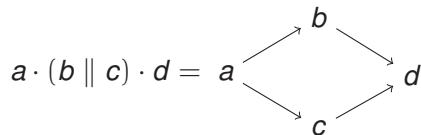
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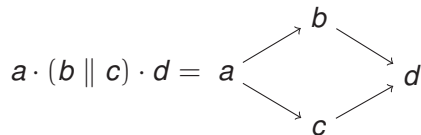
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- Composition lifts:

- $\mathcal{U} \cdot \mathcal{V} = \{U \cdot V : U \in \mathcal{U}, V \in \mathcal{V}\}$

- $\mathcal{U} \parallel \mathcal{V} = \{U \parallel V : U \in \mathcal{U}, V \in \mathcal{V}\}$

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 - $\mathcal{U} \parallel \mathcal{V} = \{U \parallel V : U \in \mathcal{U}, V \in \mathcal{V}\}$
- Kleene star: $\mathcal{U}^* = \bigcup_{n < \omega} \mathcal{U}^n$

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\mathcal{T} is the set generated by the grammar

$$e, f ::= 0 \mid 1 \mid a \in \Sigma \mid e + f \mid e \cdot f \mid e \parallel f \mid e^*$$

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BKA *semantics* is given by $\llbracket - \rrbracket_{\text{BKA}} : \mathcal{T} \rightarrow 2^{\text{Pom}_\Sigma}$.

$$\llbracket 0 \rrbracket_{\text{BKA}} = \emptyset$$

$$\llbracket 1 \rrbracket_{\text{BKA}} = \{1\}$$

$$\llbracket a \rrbracket_{\text{BKA}} = \{a\}$$

$$\llbracket e + f \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}} \cup \llbracket f \rrbracket_{\text{BKA}}$$

$$\llbracket e \cdot f \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}} \cdot \llbracket f \rrbracket_{\text{BKA}}$$

$$\llbracket e \parallel f \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}} \parallel \llbracket f \rrbracket_{\text{BKA}}$$

$$\llbracket e^* \rrbracket_{\text{BKA}} = \llbracket e \rrbracket_{\text{BKA}}^*$$

Preliminaries

Axioms for BKA :

$$e + 0 \equiv_{\text{BKA}} e$$

$$e \cdot 1 \equiv_{\text{BKA}} e \equiv_{\text{BKA}} 1 \cdot e$$

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$$e + f \equiv_{\text{BKA}} f + e$$

$$e + (f + g) \equiv_{\text{BKA}} (f + g) + e$$

$$e \cdot (f \cdot g) \equiv_{\text{BKA}} (e \cdot f) \cdot g$$

$$e \cdot (f + g) \equiv_{\text{BKA}} e \cdot f + e \cdot g$$

$$(e + f) \cdot g \equiv_{\text{BKA}} e \cdot g + f \cdot g$$

$$1 + e \cdot e^* \equiv_{\text{BKA}} e^*$$

$$e \cdot f + g \leq_{\text{BKA}} f \implies e^* \cdot g \leq_{\text{BKA}} f$$

$$e \parallel f \equiv_{\text{BKA}} f \parallel e$$

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Theorem (Laurence and Struth 2014)

The axioms for BKA are complete for equivalence:

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- *Closure* under pomset subsumption: $\mathcal{U} \downarrow = \{U' \sqsubseteq U : U \in \mathcal{U}\}$

$\mathcal{U} \downarrow$: all “sequentialisations” of pomsets in \mathcal{U} .

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- Axioms to build \equiv_{CKA} : all axioms for \equiv_{BKA} , as well as the *exchange law*:

$$(e \parallel f) \cdot (g \parallel h) \leq_{\text{CKA}} (e \cdot g) \parallel (f \cdot h)$$

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Lemma (Hoare, Möller, Struth, and Wehrman 2009)

The axioms of CKA are sound for equivalence, i.e.,

$$e \equiv_{\text{CKA}} f \implies \llbracket e \rrbracket_{\text{CKA}} = \llbracket f \rrbracket_{\text{CKA}}$$

Lemma (Kozen 1994)

Let M be an n -by- n matrix over \mathcal{T} , and \vec{b} an n -dimensional vector over \mathcal{T} .

The inequation $M \cdot \vec{x} + \vec{b} \leq_{KA} \vec{x}$ admits a unique least solution (with respect to \leq_{KA}).

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- In fact, the solution is the same in both systems!
- We use this as a device to find specific terms later on.

Closure

Definition

Let $e \in \mathcal{T}$; a *closure* of e is a term $e\downarrow$ such that

1 $e\downarrow \equiv_{\text{CKA}} e$

2 $\llbracket e \rrbracket_{\text{CKA}} = \llbracket e\downarrow \rrbracket_{\text{BKA}}$

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Proof.

Observe that $\llbracket e\downarrow \rrbracket_{\text{BKA}} = \llbracket f\downarrow \rrbracket_{\text{BKA}}$, and therefore $e \equiv_{\text{CKA}} e\downarrow \equiv_{\text{BKA}} f\downarrow \equiv_{\text{CKA}} f$. □

Lemma

If e, f have closures $e\downarrow$ and $f\downarrow$ respectively, then

- 1 $e\downarrow + f\downarrow$ is a closure of $e + f$
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One case remains: parallel composition.

Induction hypothesis: for $e \in \mathcal{T}$, we assume that:

- If f is a strict subterm of e , we can construct $f\downarrow$.
- If $|f| < |e|$ we can construct $f\downarrow$.²

² $|e|$ is the nesting level of e w.r.t. $\|$

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Sketch: given $e \parallel f$, apply exchange law syntactically, “in the limit”.

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For instance: if $e = a \cdot b$ and $f = c \cdot d$:

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$$(e = a \bullet b, f = c \bullet d)$$

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Goal: find enough of these terms to cover all pomsets in $\llbracket e \parallel f \rrbracket_{\text{CKA}}$.

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👉 splicing relations

👉 fixpoints of inequations

Closure

Definition

Let $e \in \mathcal{T}$. We define $\nabla_e \subseteq \mathcal{T} \times \mathcal{T}$ as the smallest relation such that

$$\begin{array}{c}
 \overline{1 \nabla_1 1} \quad \overline{a \nabla_a 1} \quad \overline{1 \nabla_a a} \quad \overline{1 \nabla_{e^*} 1} \quad \frac{l \nabla_e r}{l \nabla_{e+f} r} \quad \frac{l \nabla_f r}{l \nabla_{e+f} r} \\
 \\
 \frac{l \nabla_e r}{l \nabla_{e \cdot f} r \cdot f} \quad \frac{l \nabla_f r}{e \cdot l \nabla_{e \cdot f} r} \quad \frac{l_0 \nabla_e r_0 \quad l_1 \nabla_f r_1}{l_0 \parallel l_1 \nabla_{e \parallel f} r_0 \parallel r_1} \quad \frac{l \nabla_e r}{e^* \cdot l \nabla_{e^*} r \cdot e^*}
 \end{array}$$

Lemma

Let $e \in \mathcal{T}$ and $U \cdot V \in \llbracket e \rrbracket_{\text{WCKA}}$; there exist $l \nabla_e r$ such that $U \in \llbracket l \rrbracket_{\text{CKA}}$ and $V \in \llbracket r \rrbracket_{\text{CKA}}$.

Closure

Suppose that for all $g, h \in \mathcal{T}$, we have that $X_{g \parallel h}$ is a closure of $g \parallel h$.

Then we find

$$e \parallel f + \sum_{\substack{\ell_e \nabla_e r_e \\ \ell_f \nabla_f r_f}} (\ell_e \parallel \ell_f) \cdot (r_e \parallel r_f) \leq_{\text{CKA}} X_{e \parallel f}$$

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Suppose that for all $g, h \in \mathcal{T}$, we have that $X_{g||h}$ is a closure of $g || h$.

Then we find

$$e || f + \sum_{\substack{\ell_e \nabla_e r_e \\ \ell_f \nabla_f r_f}} (\ell_e || \ell_f) \cdot X_{r_e || r_f} \leq_{\text{CKA}} X_{e || f}$$

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For $X_{r_e || r_f}$, we find another inequation, et cetera...

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Suppose that for all $g, h \in \mathcal{T}$, we have that $X_{g||h}$ is a closure of $g || h$.

Then we find

$$e || f + \sum_{\substack{\ell_e \nabla_e r_e \\ \ell_f \nabla_f r_f}} (\ell_e || \ell_f) \cdot X_{r_e || r_f} \leq_{\text{CKA}} X_{e || f}$$

For $X_{r_e || r_f}$, we find another inequation, et cetera...

Lemma

Continuing this, we get a finite system of inequations $\langle M, \vec{b} \rangle_{e || f}$.

Theorem

Let $e \otimes f$ be the least solution to $X_{e \parallel f}$ in $\langle M, \vec{b} \rangle_{e \parallel f}$. Then the following hold:

1 $e \otimes f \equiv_{\text{CKA}} e \parallel f$

2 $\llbracket e \otimes f \rrbracket_{\text{BKA}} = \llbracket e \parallel f \rrbracket_{\text{CKA}}$

In other words, $e \otimes f$ is a closure of $e \parallel f$.

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Theorem

If $e \in \mathcal{T}$, then we can compute a term $e \downarrow$ that is a closure of e .

Corollary

Let $e, f \in \mathcal{T}$ be such that $\llbracket e \rrbracket_{\text{CKA}} = \llbracket f \rrbracket_{\text{CKA}}$; then $e \equiv_{\text{CKA}} f$.

Conclusion

- Axiomatised equality of *closed, series-rational pomset languages*.
- Results establishes these as the carrier of the free CKA.
- Extends half of earlier Kleene theorem: terms to pomset automata.
- We also obtain a novel (but inefficient) decision procedure.

Further work

- Explore coalgebraic perspective:
 - Efficient equivalence checking through bisimulation?
 - Can completeness be shown coalgebraically?
- Add “parallel star” operator — closure method does not apply.
- Endgame: lift results to KAT, then NetKAT.

Thank you for your attention

GoNeCo



Implementation: <https://doi.org/10.5281/zenodo.926651>.

Draft paper: <https://arxiv.org/abs/1710.02787>.