

# On propositional program equivalence

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# Program equivalence

```
if  $x = 1$  then  
  |  $y++$ ;  
else  
  |  $z := z/2$ ;  
end
```

$\equiv$

```
if  $x \neq 1$  then  
  |  $z := z/2$ ;  
else  
  |  $y++$ ;  
end
```

# Propositional program equivalence

```
if b then  
  | p;  
else  
  | q;  
end
```

≡

```
if not b then  
  | q;  
else  
  | p;  
end
```

## Program equivalence

```
s := new stack();
node := root;
while node != nil do
  | s.push(node);
  | node := node.left;
end
while !s.empty do
  | node = s.pop();
  | visit(node);
  | node = node.right;
  | while node != nil do
  |   | s.push(node);
  |   | node := node.left;
  | end
end
```

≡

```
s := new stack();
node := root;
while node != nil or !s.empty do
  | if node != nil then
  |   | s.push(node);
  |   | node = node.left;
  | else
  |   | node = s.pop();
  |   | visit(node);
  |   | node = node.right;
  | end
end
```

(courtesy of Hendrik Jan Hoogeboom)



# Propositional program equivalence

```
s;  
while b do  
  | p;  
end  
while c do  
  | q;  
  while b do  
    | p;  
  end  
end  
end
```

=

```
s;  
while b or c do  
  | if b then  
    | p;  
  else  
    | q;  
  end  
end  
end
```

# Guarded Kleene Algebra with Tests (GKAT)

Fix a set  $\{p, q, \dots\}$  of **actions** and a Boolean algebra  $\{b, c, \dots\}$  of **tests**

$\text{Exp} \ni e, f ::= \text{assert } b \mid p \in \Sigma \mid e; f \mid \text{if } b \text{ then } e \text{ else } f \mid \text{while } b \text{ do } e$

- ▶ Language semantics in terms of *guarded strings*.
- ▶ Language equivalence is efficiently decidable! (nearly linear)

(Kozen & Tseng 2008), (Smolka et al. 2020)

Inherited from KAT: sets of *guarded strings*, i.e., words of the form

$$\alpha_1 p_1 \alpha_2 p_2 \alpha_3 p_3 \cdots \alpha_n p_n \alpha_{n+1}$$

where the *atom*  $\alpha_i \in \text{At}$  tells us the truth value of each test at point  $i$ .

# GKAT semantics

By example

$$\left[ \begin{array}{l} \text{if } b \text{ then} \\ \quad | \quad p; \\ \text{else} \\ \quad | \quad q; \\ \text{end} \end{array} \right] = \left\{ \begin{array}{l} bp\bar{b}, \\ b\bar{p}\bar{b}, \\ \bar{b}q\bar{b}, \\ \bar{b}qb \end{array} \right\}$$

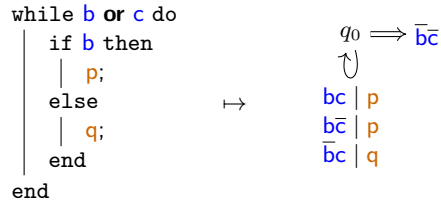
# GKAT semantics

By example

$$\left[ \begin{array}{l} \text{while } b \text{ or } c \text{ do} \\ | \quad \text{if } b \text{ then} \\ | | \quad p; \\ | \quad \text{else} \\ | | \quad q; \\ | \quad \text{end} \\ \text{end} \end{array} \right] = \left\{ \begin{array}{l} \bar{b}\bar{c}, \\ \bar{b}c q \bar{b}\bar{c}, \\ \bar{b}\bar{c} p \bar{b}c q \bar{b}\bar{c}, \\ \dots \end{array} \right\}$$

# GKAT decision procedure

Translating to GKAT automata



See (Smolka et al. 2020) for the full details.

# GKAT decision procedure

## GKAT automata equivalence

### Theorem (Smolka et al. '20)

*For every GKAT expression  $e$ , we can construct a GKAT automaton  $A_e$  such that  $\llbracket e \rrbracket = \llbracket A_e \rrbracket$ .*

This is a basic syntax-directed translation, à la (Thompson 1968).

### Lemma

*$A_e$  is at most linear in the size of  $e$ .*

Equivalence (bisimilarity) of GKAT automata can be easily decided.

## Goto removal

```
L:
  if b then
    | p;
    | goto R;
  return;
R:
  if not b then
    | q;
    | goto L;
  return;
```

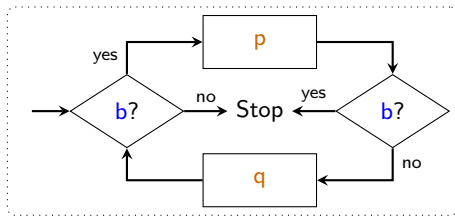
goto removal  
(e.g., calipso)

```
x := 1;
while x ≠ 0 do
  if x = 1 and b
    then
      | p;
      | x := 2;
    else if x = 2 and
      not b then
      | q;
      | x := 1;
    else
      | x := 0;
  end
```

See (Erosa & Hendren '94; Cassé et al. '02)



# Decompilation



```
while b do
  | p;
  | if b then
  |   | break;
  | q;
end
```

# Enter CF-GKAT

Fix sets of:

- ▶ *actions*  $p \in \Sigma$ ;
- ▶ *tests*  $b \in T$ ;
- ▶ *labels*  $\ell \in L$ ; and
- ▶ *indicator values*  $i \in I$ .

CF-GKAT is GKAT augmented with non-local flow control:

$$\text{Exp} \ni e, f ::= \text{assert } b \mid p \in \Sigma \mid x := i \ (i \in I) \mid e; f \mid \text{if } b \text{ then } e \text{ else } f \mid \\ \text{while } b \text{ do } e \mid \text{break} \mid \text{return} \mid \text{goto } \ell \ (\ell \in L) \mid \text{label } \ell \ (\ell \in L)$$

# CF-GKAT semantics

By example

$$\left[ \begin{array}{l} \text{while } b \text{ do} \\ \quad p; \\ \quad \text{if } b \text{ then} \\ \quad \quad \text{break;} \\ \quad q; \\ \text{end} \end{array} \right] = \left\{ \begin{array}{l} bp\bar{b}q\bar{b}, \\ bp\bar{b}qbp\bar{b}q\bar{b}, \\ bp\bar{b} \\ bp\bar{b}qbp\bar{b} \\ \dots \end{array} \right\}$$

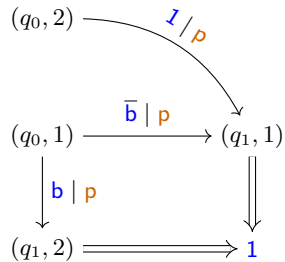
$$\left[ \begin{array}{l} L: \\ \text{if } b \text{ then} \\ \quad p; \\ \quad \text{goto } R; \\ \text{return;} \\ R: \\ \text{if not } b \text{ then} \\ \quad q; \\ \quad \text{goto } L; \\ \text{return;} \end{array} \right] = \left\{ \begin{array}{l} bp\bar{b} \\ bp\bar{b}q\bar{b}, \\ bp\bar{b}qbp\bar{b}q\bar{b}, \\ bp\bar{b}qbp\bar{b} \\ \dots \end{array} \right\}$$

# Decision procedure

CF-GKAT automata

```
if b and  $x = 1$  then  
|    $x := 2$ ;  
|   L:  
|   p;  
else  
|    $x := 1$ ;  
|   goto L;
```

$\mapsto$



# Decision procedure

Translating to CF-GKAT automata

Theorem (Zhang, K., Narváez & Naus '25)

*For every CF-GKAT expression  $e$ , we can construct a GKAT automaton  $A_e$  such that  $\llbracket e \rrbracket = \llbracket A_e \rrbracket$ .*

Another syntax-directed translation, à la (Thompson 1968).

Lemma

*$A_e$  is linear in the size of  $e$  and  $|I|$ .*



# Validation

## Blinding

C programs are still not CF-GKAT programs:

- ▶ Parsing C can be really hard!
- ▶ Same statements mapped to same variable.
- ▶ Which variables are indicators?
- ▶ Macros may hide relevant information.



LLVM/clang to the rescue

# Validation

## Blinding

```
#define hidden(x) \  
    x=f(x); goto R;
```

```
int foo(int x, int y) {  
    L:  
    if (x == y) {  
        x = f(x);  
        hidden(x);  
    }  
    return;  
    R:  
    if (x != y) {  
        y = g(y);  
        goto L;  
    }  
}
```

$\mapsto$

```
L:  
if b then  
    | p;  
    | goto R;  
return;  
R:  
if not b then  
    | q;  
    | goto L;
```

# Validation

Working on `coreutils`

We chose to work on `mp_factor_using_pollard_rho`:

- ▶ 91 lines of code (before macro expansion)
- ▶ loops nested three levels deep
- ▶ has a **break** statement inside
- ▶ also contains a **goto** for error handling
- ▶ no indicator variables (yet)



First experiment:

- ▶ Blind, compile (clang), and then decompile (Ghidra).
- ▶ Decompiled code has 3 more goto's and labels.
- ▶ Some manual effort to remove decompilation artifacts.
- ▶ Compare original code to decompiled code: OK.

Second experiment:

- ▶ Blind, then eliminate **goto** using indicators (Erosa & Hendren 1994, Cassé et al. 2002)
- ▶ Some manual effort to make indicator detection work.
- ▶ Compare original code to refactored code: OK.

See (Zhang, K., Narváez & Naus '25) for more.

```
while b do  
  | p;  
  | if b then  
  |   | break;  
  | p;  
end
```

## Theorem (Schmid, K., Kozen & Silva '21)

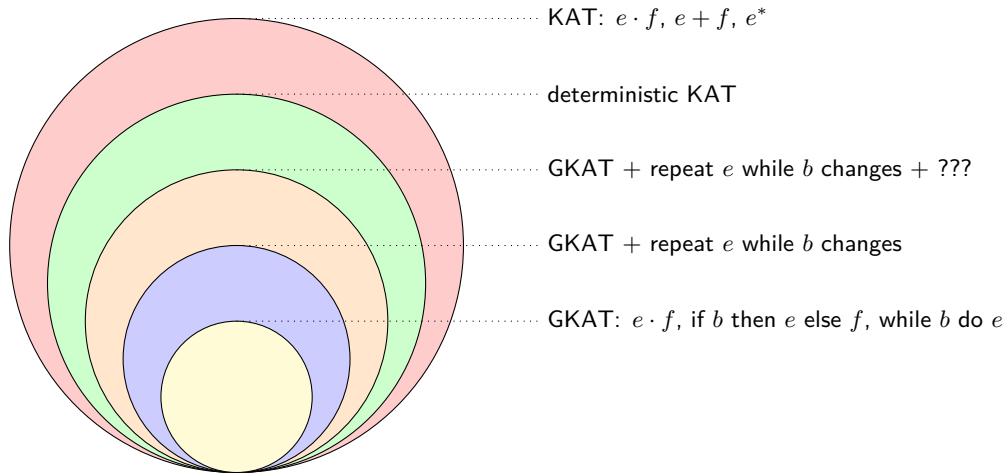
*There is no program  $e$  built using*

- ▶ if-then-else;
- ▶ while-do; and
- ▶ sequential composition

*equivalent to the program on the left.*

See also (Knuth & Floyd '71; Ashcroft & Manna '72; Peterson et al. '73; Kosaraju '74; Kozen & Tseng '08).

## A hierarchy



## A hierarchy

### Theorem (Ten Cate & K. '25)

*For any deterministic fragment of KAT generated by finitely many operators (e.g.,  $e \cdot f$ , if  $b$  then  $e$  else  $f$ , while  $b$  do  $e$ ), there exist a deterministic KAT expression outside this fragment.*

# Knuth-Yao algorithm

How to simulate

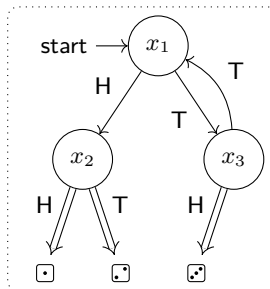


using



?

```
while true do
  if flip(0.5) then
    if flip(0.5) then
      | return 1 // heads-heads
    else
      | return 2 // heads-tails
  else
    if flip(0.5) then
      | return 3 // tails-heads
    else
      | skip // tails-tails
end
```



# Correctness of Knuth-Yao in ProbGKAT

```
while true do
  if flip(0.5) then
    if flip(0.5) then
      | return 1 // heads-heads
    else
      | return 2 // heads-tails
    else
      if flip(0.5) then
        | return 3 // tails-heads
      else
        | skip // tails-tails
    end
  end
```



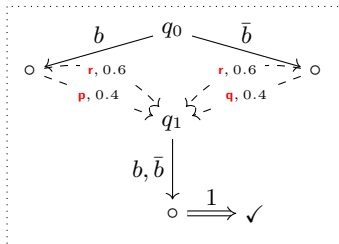
?  
≡

```
if flip(1/3) then
  return 1
else
  if flip(0.5) then
    return 2
  else
    return 3
  end
end
```



See (Różowski, K., Kozen, Schmid & Silva '23) for more.

# Operational model



- Notion of equivalence: coalgebraic bisimulation
- Can be decided in  $O(n^2 \log(n))$  using a generic minimization algorithm (Wißmann, Dorsch, Milius & Schröder '20)



# Thanks to ...

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David Narváez



Nico Naus



Wojciech Różowski



Todd Schmid



Alexandra Silva



Steffen Smolka



Cheng Zhang

